

# Infinite-order lattice anomalies and CPT

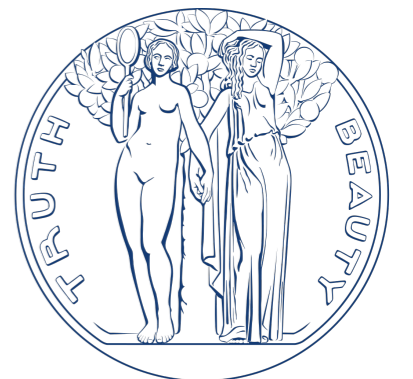
Sal Pace

MIT  $\rightarrow$  IAS

*Symmetries 26*



SIMONS  
FOUNDATION





Shu-Heng  
Shao



Elijah  
Lew-Smith

[arXiv:2606.12510](https://arxiv.org/abs/2606.12510)

# Quantum Systems

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Two primary **frameworks** for describing **quantum systems**:

Quantum lattice models (QLMs)\*

Quantum field theories (QFTs)

➤ Incredibly **successful**: cond-mat, hep, quant-ph, & math.

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Different **frameworks**, but intimately related:

$$\{\text{QLMs}\} \xrightarrow{\text{infrared limit}} \{\text{QFTs}\}$$

- Currently, more like a **guiding philosophy**.
- Important to make this relation precise.

\*Discrete space, continuous time

# Kinematics

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1. What is **{symmetries}** for QLMs and QFTs?
2. Given a fixed QLM **symmetry**, which QFT **symmetries** can it become?
3. Which QFT **symmetries** can arise from a QLM **symmetry**?

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Here, we focus on **invertible 0-form symmetries**.

► Which QFT **anomalies** can arise from QLM **anomalies** in

QLMs with Hilbert space  $\bigotimes_i \mathcal{H}_i$  and finite  $\dim \mathcal{H}_i$ ?

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A first step is to work at the level of kinematics:

➤ The theory of **anomalies** of ordinary symmetries in QFT is by now a mature subject.

1 Understanding **anomalies** in QLMs is an increasingly active direction!

2 [Bols, Carvalho, Chatterjee, Chen, Cheng, Czajka, De Roeck, De Wilde, Else, Feng, Geiko, Hsin, Ji, Kapustin, Kawagoe, Kim, Kobayashi, Levin, Liu, Long, **SP**, Ryu, Seiberg, Seifnashri, Shao, Shirley, Sopenko, Spodyneiko, Thorngren, Tu, Xu, Yi, Zhang, Zou, ...]

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Taking the IR limit induces a **homomorphism**

$$\rho: G_{UV} \rightarrow G_{IR}$$

- Implies a **homomorphism**  $\rho^*: \text{Anom}(G_{IR}) \rightarrow \text{Anom}(G_{UV})$ ,  
with  $\text{Anom}(G)$  the group of inequivalent  $G$  **anomalies**.

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**Anomaly matching:**  $\rho^*(\omega_{IR}) = \omega_{UV}$

- A nontrivial UV **anomaly** implies a nontrivial IR **anomaly**:

$$\omega_{UV} = \rho^*(\omega_{IR}) \neq 0 \implies \omega_{IR} \neq 0$$

- When the UV and IR **symmetry** groups are the same:

$$G_{UV} \cong G_{IR} \ \& \ \rho = \text{id} \implies \omega_{IR} = \omega_{UV}$$

# The Order of an Anomaly

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A key property of an **anomaly**  $\omega$  is its **order**  $\text{ord}(\omega)$

- Smallest positive integer  $n$  such that  $n\omega = 0 \implies$  diagonal  $G$  **symmetry** of the  $n$ -copy system is **anomaly-free**.
- $\text{ord}(\omega) = 1$  if and only if the  $G$  symmetry is anomaly free.

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An **anomaly's order** can change under the IR limit

- The **anomaly matching** condition implies that

$$\text{ord}(\omega_{\text{UV}}) \leq \text{ord}(\omega_{\text{IR}})$$

# The Order of an Anomaly

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0 + 1d **example**: two qubits with  $H = (3 - X_1)(1 + Z_2)$

➤  $G_{UV} = D_8$  generated by  $A = CZ$ ,  $B = X_1$ , and  $C = Z_2$ :

$$A^2 = B^2 = C^2 = 1, \quad AB = CBA.$$

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➤ The IR (ground state space) is the  $Z_2 = -1$  eigenspace

$$A_{\text{IR}}^2 = B_{\text{IR}}^2 = 1, \quad A_{\text{IR}}B_{\text{IR}} = -B_{\text{IR}}A_{\text{IR}}$$

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➤  $\rho(D_8) = \mathbb{Z}_2 \times \mathbb{Z}_2$  with  $\ker(\rho) = \langle C \rangle \cong \mathbb{Z}_2$

➤ Emergent **anomaly**:  $\omega_{\text{IR}} \neq 0$  but  $\rho^*(\omega_{\text{IR}}) = \omega_{UV} = 0$

$$\text{ord}(\omega_{UV}) = 1 \leq \text{ord}(\omega_{\text{IR}}) = 2$$

# tl;dr

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Infinite-order **anomalies** are elusive in QLMs.

- No-go theorems for compact locality-preserving **symmetries**  
*[Kapustin, Sopenko '24; Liu '26]*
- In contrast, infinite-order **anomalies** are ubiquitous in QFT (e.g., perturbative anomalies) and imply gaplessness

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- In contrast, infinite-order **anomalies** are ubiquitous in QFT (e.g., perturbative anomalies) and imply gaplessness

Here, we consider 1 + 1d **fermionic** QLMs and show that:

*[Lew-Smith, **SP**, Shao '26]*

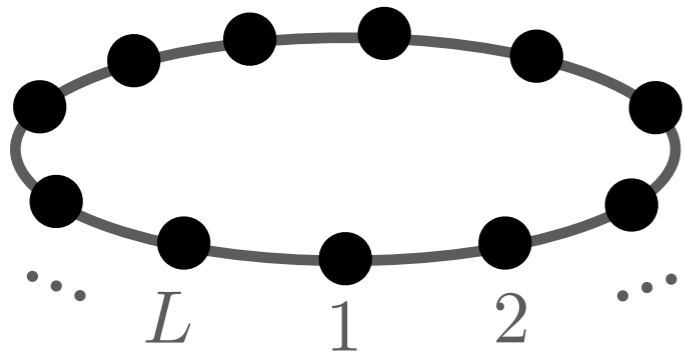
**Onsager symmetry** + lattice CPT  $\implies$  infinite-order **anomaly**

- The **Onsager symmetry** is a lattice realization of the chiral symmetry of massless Dirac fermion *[Chatterjee, **SP**, Shao '24]*
- Its **symmetry** group is a non-compact, infinite-dimensional Lie group.

# A Simple Lattice Model

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Consider a *finite lattice* on a ring with even  $L$  sites



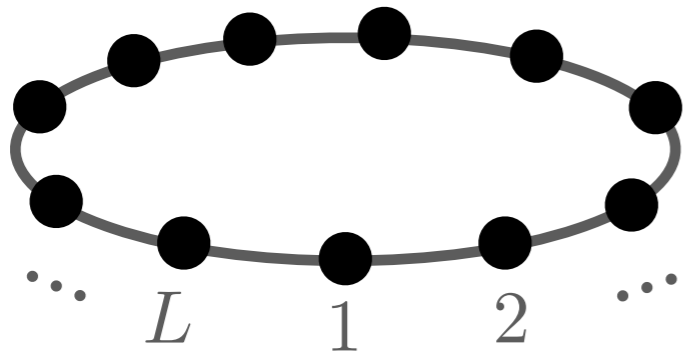
**Fermion operator**  $c_j$  at each site  $j$

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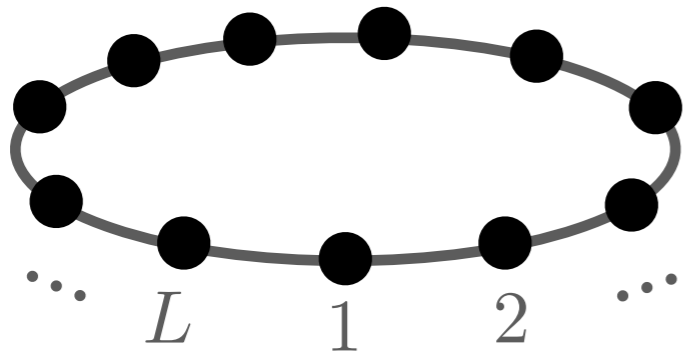
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Staggered fermion Hamiltonian  $H = i \sum_{j=1}^L \left( c_j^\dagger c_{j+1} - c_{j+1}^\dagger c_j \right)$   
[Banks, Susskind, Kogut '76]

➤  $H$  becomes a free, massless **Dirac fermion** theory in IR

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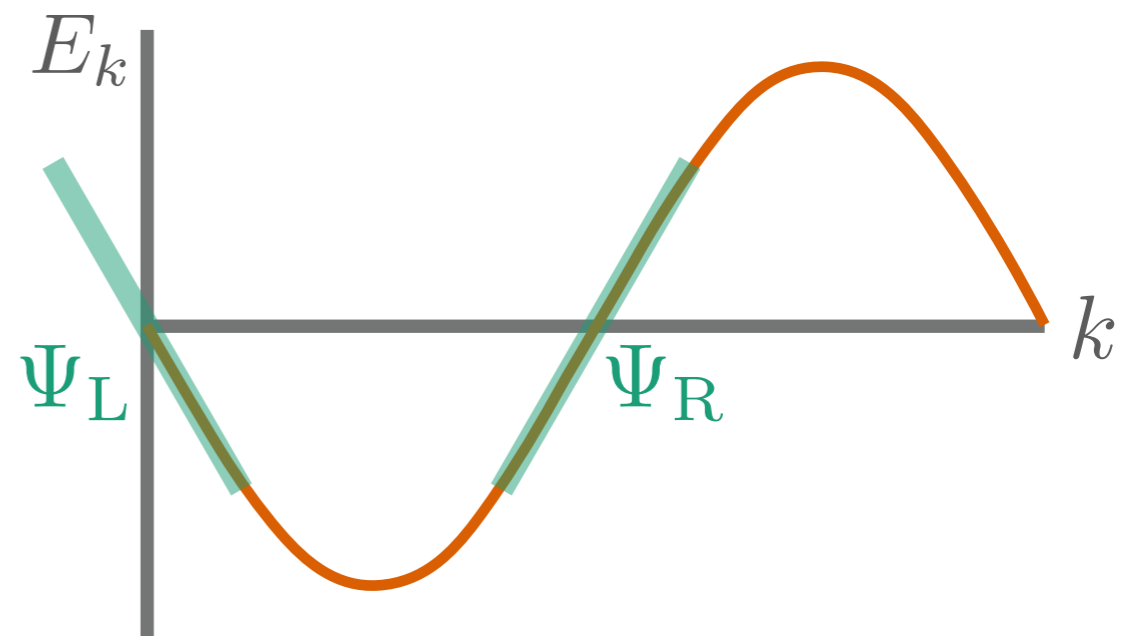
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➤ In momentum space

$$H = \sum_{k \in \text{BZ}} E_k c_k^\dagger c_k$$

$$E_k = -2 \sin(k)$$



# Onsager Symmetry I: What is it

---

The symmetry of 1+1d massless **Dirac fermion** includes

$$U(1)_V : \Psi \mapsto e^{-i\theta_V} \Psi \qquad U(1)_A : \Psi \mapsto e^{-i\theta_A \sigma^z} \Psi$$

Does this **IR symmetry** arise from a **UV symmetry** of  $H$ ?

► i.e.,  $G_{UV}$  such that  $\rho(G_{UV}) \cong [U(1)_V \times U(1)_A]/\mathbb{Z}_2$

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Yes! Let  $a_j = c_j^\dagger + c_j$  and  $b_j = i(c_j^\dagger - c_j)$

► Conserved, quantized charges

$$Q_0 = \frac{i}{2} \sum_{j=1}^L a_j b_j \qquad Q_1 = \frac{i}{2} \sum_{j=1}^L a_j b_{j+1}$$

have the **IR** limit [*Chatterjee, SP, Shao '24*]

$$Q_0 \xrightarrow{\text{IR}} \mathcal{Q}_V \qquad Q_1 \xrightarrow{\text{IR}} \mathcal{Q}_A$$

# Onsager Symmetry I: What is it

$Q_0$  and  $Q_1$  generate the **Onsager algebra**\* [*Onsager '44*]

$$[Q_n, Q_m] = iG_{m-n} \quad [G_n, G_m] = 0$$

$$[Q_n, G_m] = 2i(Q_{n-m} - Q_{n+m})$$

►  $Q_n = \frac{i}{2} \sum_{j=1}^L a_j b_{j+n}$  and  $G_n = \frac{i}{2} \sum_{j=1}^L (a_j a_{j+n} - b_j b_{j+n})$

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UV to IR symmetry map

$$Q_n \xrightarrow{\text{IR}} \begin{cases} Q_V & n \text{ even} \\ Q_A & n \text{ odd} \end{cases} \quad G_n \xrightarrow{\text{IR}} 0$$

► Implies  $[Q_0, Q_1] \neq 0 \xrightarrow{\text{IR}} [Q_V, Q_A] = 0$

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# Onsager Symmetry II: Its Anomaly.....

The Dirac fermion's  $[U(1)_V \times U(1)_A] / \mathbb{Z}_2$  symmetry is **anomalous**

►  $S_{\text{inflow}} = \frac{i}{\pi} \int A_V dA_A \implies$  infinite-order anomaly

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What even is an **anomaly** in a QLM?

Here, we view an **anomaly** as a robust obstruction to a **trivial symmetric gapped phase**

- Avoids having to define gauging
- Sometimes called an **LSM-type anomaly**
- Commonly used for non-invertible symmetry

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Is the **Onsager** symmetry **anomalous**? Yes! [*Chatterjee, SP, Shao '24*]

➤ Can prove each symmetric local Hamiltonian takes the form

$$H_g = i \sum_n \sum_{j=1}^L g_n (a_j a_{j+n} + b_j b_{j+n}),$$

which never has a unique gapped ground state

➤ Each  $H_g \neq 0$  is gapless  $\implies$  symmetry-enforced gaplessness

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In the original Hamiltonian, the Dirac fermion's **chiral anomaly** arises from the **Onsager** symmetry's **lattice anomaly**.

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➤ **2-copy** system has two fermion operators  $c_j^{\text{I}}, c_j^{\text{II}}$  per site  $j$ .

➤ Diagonal **Onsager** symmetry generated by

$$Q_0^{\text{diag}} = Q_0^{\text{I}} + Q_0^{\text{II}}, \quad Q_1^{\text{diag}} = Q_1^{\text{I}} + Q_1^{\text{II}}$$

➤ A symmetric Hamiltonian with a unique gapped ground state:

$$H = \frac{i}{2} \sum_{j=1}^L (a_j^{\text{I}} a_j^{\text{II}} + b_j^{\text{I}} b_j^{\text{II}})$$

⇒ The  $(Q_0^{\text{diag}}, Q_1^{\text{diag}})$  **Onsager** symmetry is **anomaly-free**

# Onsager Symmetry III: Anomaly Order

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Can the order of the Onsager symmetry's anomaly be enhanced to infinite order?

➤ 2

➤ D

➤ One way to increase an anomaly's order is by introducing additional symmetry

Here, we will add a lattice CPT symmetry

➤ A

➤ Natural symmetry to add from the QFT perspective

te:

$$H = \frac{1}{2} \sum_{j=1}^L (a_j^\dagger a_j + b_j^\dagger b_j)$$

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# Lattice CPT Symmetry

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Consider the *anti-unitary* operator  $\Pi$  satisfying

$$\Pi^2 = 1, \quad \Pi i \Pi^\dagger = -i, \quad \Pi c_j \Pi^\dagger = c_{-j+1}^\dagger$$

► Is a  $\mathbb{Z}_2$  *anti-unitary reflection* operator

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► Is a  $\mathbb{Z}_2$  **anti-unitary reflection** operator

Is a symmetry of the **staggered fermion Hamiltonian**

$$H = i \sum_{j=1}^L \left( c_j^\dagger c_{j+1} - c_{j+1}^\dagger c_j \right)$$

► In the IR,  $\Pi$  becomes the **CPT** symmetry operator of the **Dirac fermion QFT**

$\implies \Pi$  is a lattice  $\mathbb{Z}_2^{\text{CPT}}$  symmetry operator

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$\Pi$  interplays with the **Onsager** charge operators as

$$\Pi Q_n \Pi^\dagger = -Q_{-n}, \quad \Pi G_n \Pi^\dagger = G_n$$

$(Q_0, Q_1, \Pi)$  form the symmetry group

$$\text{Onsager} \rtimes \mathbb{Z}_2^{\text{CPT}}$$

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$$\text{Onsager} \rtimes \mathbb{Z}_2^{\text{CPT}}$$

► In the IR of the **staggered fermion Hamiltonian**

► In the IR of the **Dirac**  $\text{Onsager} \rtimes \mathbb{Z}_2^{\text{CPT}} \xrightarrow{\text{IR}} [\text{U}(1)_V \times \text{U}(1)_A] / \mathbb{Z}_2 \times \mathbb{Z}_2^{\text{CPT}}$

⇒  $\Pi Q_n \Pi^\dagger = -Q_{-n} \xrightarrow{\text{IR}} \begin{cases} \Pi_{\text{IR}} Q_V \Pi_{\text{IR}}^\dagger = -Q_V & n \text{ even} \\ \Pi_{\text{IR}} Q_A \Pi_{\text{IR}}^\dagger = -Q_A & n \text{ odd} \end{cases}$

# Onsager + CPT: Anomaly Order

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➤  **$N$ -copy** system has  $N$  fermion operators  $\{c_j^{(\ell)}\}_{\ell=1}^N$  per site  $j$

➤ Diagonal Onsager  $\times \mathbb{Z}_2^{\text{CPT}}$  symmetry generated by

$$Q_0^{\text{diag}} = \sum_{\ell=1}^N Q_0^{(\ell)}, \quad Q_1^{\text{diag}} = \sum_{\ell=1}^N Q_1^{(\ell)}, \quad \Pi^{\text{diag}}$$

➤ Can prove each symmetric local Hamiltonian takes the form

$$\frac{i}{2} \sum_n \sum_{I,J=1}^N \sum_{j=1}^L g_n^{IJ} (a_j^I a_{j+n}^J + b_j^I b_{j+n}^J) \quad (g_{-n}^{IJ} = -g_n^{IJ})$$

which never has a unique gapped ground state

# Recap

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QLM	UV Symmetry	IR Symmetry	Anomaly Order UV $\rightarrow$ IR
Staggered Fermion	Onsager	$\frac{U(1)_V \times U(1)_A}{\mathbb{Z}_2}$	$2 \rightarrow \infty$

# Recap

QLM	UV Symmetry	IR Symmetry	Anomaly Order UV $\rightarrow$ IR
Staggered Fermion	Onsager	$\frac{U(1)_V \times U(1)_A}{\mathbb{Z}_2}$	$2 \rightarrow \infty$
	Onsager $\rtimes \mathbb{Z}_2^{\text{CPT}}$	$\frac{U(1)_V \times U(1)_A}{\mathbb{Z}_2} \times \mathbb{Z}_2^{\text{CPT}}$	$\infty \rightarrow \infty$

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Staggered Fermion	Onsager	$\frac{U(1)_V \times U(1)_A}{\mathbb{Z}_2}$	$2 \rightarrow \infty$
	Onsager $\rtimes \mathbb{Z}_2^{\text{CPT}}$	$\frac{U(1)_V \times U(1)_A}{\mathbb{Z}_2} \times \mathbb{Z}_2^{\text{CPT}}$	$\infty \rightarrow \infty$
Heisenberg Chain	$\mathbb{Z}^{\text{tran}}$	$\mathbb{Z}_2$	$1 \rightarrow 2$ <i>[Metlitski, Thorngren '17]</i>
	$\mathbb{Z}^{\text{tran}} \rtimes \mathbb{Z}_2^{\text{CPT}}$	$\mathbb{Z}_2 \times \mathbb{Z}_2^{\text{CPT}}$	$2 \rightarrow 2$ <i>[Seiberg, Shao, Zhang '25]</i>
Kitaev Chain	$\mathbb{Z}^{\text{maj}}$	$\mathbb{Z}_2$	$2 \rightarrow 8$ <i>[Seiberg, Shao '23]</i>
	$\mathbb{Z}^{\text{maj}} \rtimes \mathbb{Z}_2^{\text{CPT}}$	$\mathbb{Z}_2 \times \mathbb{Z}_2^{\text{CPT}}$	$8 \rightarrow 8$ <i>[Seiberg, Shao, Zhang '25]</i>

# Future Directions

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The role of **CPT**, and more generally **CRT**, in QLMs

- Interesting results also noted for invertible phases [*Ogata '22; Sopenko '25*]

Systematic study of the **anomaly's** stability to ancillas

- Preliminary results: the **anomaly** is robust to a natural class of nononsite ancillas [*Lew-Smith, SP, Shao '26*]

Analogous story for **Onsager** symmetries in  $> 1 + 1d$

- The **anomalies** of such **Onsager** symmetries can realize parity anomalies [*SP, Kim, Chatterjee, Shao '25*], Witten's  $SU(2)$  anomaly [*Gioia, Thorngren '25*], and symmetry-enforced Fermi surfaces [*Kim, SP, Shao '25*].